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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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10/758,250

01/15/2004

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EXAMINER

ORLANDO, MICHAEL N

ART UNIT

PAPER NUMBER

1791

MAIL DATE

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PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Office Action Summary	Application No. 10/758,250	Applicant(s) CARPER ET AL.	
	Examiner MICHAEL N. ORLANDO	Art Unit 1791	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 27 October 2008.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 12-24 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 12-24 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413) |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____ |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

Claim Rejections - 35 USC § 112

The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

Claims 12-24 are rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the written description requirement. The claim(s) contains subject matter which was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventor(s), at the time the application was filed, had possession of the claimed invention. There is no reference in the disclosure towards the core being homogeneously solid so this is taken as no matter. In fact the examiner finds no evidence of either of the terms homogeneously or solid (let alone the combination) in the disclosure.

The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

Claims 12-24 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention. The term homogeneously solid is not properly defined. The claim is ambiguous because it is unclear if the applicant intends term homogeneous to define. The applicant could be referred to the core to being made of only one type of component or the core being completely solid.

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

1. The factual inquiries set forth in *Graham v. John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under 35 U.S.C. 103(a) are summarized as follows:

1. Determining the scope and contents of the prior art.
2. Ascertaining the differences between the prior art and the claims at issue.
3. Resolving the level of ordinary skill in the pertinent art.
4. Considering objective evidence present in the application indicating obviousness or nonobviousness.

2. This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

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3. Claims 12-24 are rejected under 35 U.S.C. 103(a) as being unpatentable over Steibel et al. 6,280,550 in view of JP 6-137103, Baldwin et al. 5,049,036 and Steibel et al. 6,258,737.

Regarding claims 12-20, Steibel et al. 6,280,550 discloses a method of making a composite turbine blade comprising: providing first reinforcement comprising an insert preform of silicon carbide fabric rigidized by deposited silicon carbide (silicon carbide-silicon carbide composite preform having porosity); optionally depositing matrix material to fill only a portion of the porosity of the insert preform (silicon-silicon carbide composite preform having some porosity); providing second reinforcement comprising silicon carbide fabric plies (outer shell preform); applying the silicon carbide fabric plies to contact the insert preform and define the surface shape of the blade; and depositing matrix material into the porosity of the first and second reinforcement, the depositing also providing bonding between the first and second reinforcements. Matrix material may be deposited by melt infiltration of silicon so that the matrix is silicon carbide or mixture of silicon and silicon carbide. As shown in Figure 7, the insert is provided in the dovetail section of the blade (col. 2-7). Steibel et al. do not specifically disclose providing the second reinforcement as plies of silicon carbide prepreg cloth or disclose providing the composite turbine blade with a dovetail section by inserting an insert preform in the dovetail section. The ambiguous term "homogeneously" solid is taken to be satisfied by the fact that the walls of the core are solid. Note that Steibel also acknowledges that both entirely solid and hollow articles may be produced (column 2).

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JP 6-137103 teaches that a fiber reinforced composite turbine blade, such as of fiber strengthening ceramic (ceramic matrix composite), is made with a dovetail section using reinforcing fiber which extended from the dovetail section to the blade part (Abstract and computer translation).

Baldwin teaches that in making composite airfoils (fan blades), inserts or "preforms" are provided in both the blade part to form the core of the blade and in the root part (dovetail) of the blade. The preform inserts are made to be of the same composite material as the composite material layered over the inserts to form the composite blade. Using insert enhances producibility and eliminates the hundreds of prepreg layers, especially in the thick root sections (col. 2, lines 13-57, col. 4, lines 44-46).

Steibel et al. '737 teaches that in making a silicon carbide composite by melt infiltration with silicon, the silicon carbide fiber fabric is impregnated with high char yield slurry to form a prepreg before melt infiltration. The use of a high char yielding resin improves increases burn-out strength, produces a hard, tough preform and provides integrity to the preform structure during silicon melt infiltration. Steibel et al. further teach that before melt infiltration, the impregnated fabric (prepregged cloth) is either subjected to compression molding, bladder molding or autoclaving to form a preform for melt infiltration. Steibel et al. also teach that carbon of micrometer particle size provided in silicon carbide preforms to give different composite properties of structure (col. 5, line 50 - col. 6, line 11, col. 6, line 64 - col. 7, line 12).

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It would have been obvious to one of ordinary skill in the art to have modified the method of Steibel et al. for making a composite turbine blade by making the turbine blade with a dovetail section, as taught by JP ' 103 as provided as part of a turbine blade and also made during the fabrication of a fiber reinforced composite blade. Providing the fabric plies (outer shell section preform) to extend from the blade part to a dovetail section to form both the blade and dovetail section of a turbine blade in one step of matrix deposition would have been obvious to one of ordinary skill in the art, as JP ' 103 teaches that the reinforcing fiber for a turbine blade extends from the blade to the dovetail section.

Providing an insert preform not only in the blade section but also in the dovetail section would have been obvious to one of ordinary skill in the art, as Baldwin teaches that a composite fan blade having a root part (dovetail) is provided with insert (insert preform) not only in the blade part but also in the dovetail part in order to enhance producibility and reduce the number of prepreg layers, especially in the thick dovetail section. Providing an insert (insert preform) in the dovetail section as silicon carbide fabric rigidized by deposited silicon carbide (silicon carbide- silicon carbide composite preform having porosity), or silicon-silicon carbide composite preform having some porosity, would have been obvious to one of ordinary skill in the art to provide an insert preform in the dovetail section similar to that provided in the blade section to allow for deposition of matrix by silicon melt infiltration and bonding between the preform and the fabric plies, as disclosed by Steibel et al. It would have been obvious to one of ordinary skill in the art that, in making a silicon carbide-silicon carbide composite turbine blade

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using insert preforms in both the blade part and dovetail part, to provide the insert preforms as similar in composition to each other and to the fabric plies which are to contact the insert preforms, as Baldwin et al. teach that the insert in the blade part and dovetail part are similar in composition and to that of the prepreg layers (plies) to enhance producibility and to reduce the number of prepreg layers required, especially in the thick dovetail part of the blade. The use of the same type of insert preform in the dovetail section as used in the blade section would have been obvious to one of ordinary skill in the art, as clearly suggested by Baldwin, to make a composite blade.

It would have been obvious to one of ordinary skill in the art to have further modified the method of Steibel et al. for making a composite turbine blade by providing the second reinforcement as impregnated with high char yielding slurry (prepregged or a preform) before contacting the insert preform, as taught by Steibel et al. '737, as impregnated in silicon carbon fiber fabric before silicon melt infiltration to increase burn-out strength, produce a hard, tough preform and provide integrity during silicon melt infiltration.

Autoclaving the assembly of second reinforcement prepreg and insert preform before silicon melt infiltration, as claimed in Claim 12, would have been obvious to one of ordinary skill in the art, as taught by Steibel et al. '737, to aid in forming the prepreg into preform shape before melt infiltration. It would have been obvious to have autoclaved to help shape the prepregged plies into the surface shape of the blade.

Providing the silicon-silicon carbide insert preform with carbon microspheres, as claimed in Claims 14 and 19, would have been obvious to one of ordinary skill in the art,

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as taught by Steibel et al. '737, as added to silicon carbide preforms to give different composite properties of structure. The use of carbon microspheres in either of the insert preform or second reinforcement preform would have been obvious to one ordinary skill in the art depending on desired composites properties of the insert or the surface of the composite turbine blade.

Regarding claims 20 and 21, Steibel 6,280,550 discloses the insert being prepared and rigidized prior to the application of the second layer (figure 1; columns 3 and 4). This prior preparation is taken to be a pre-fabrication. In addition the examiner notes that even if such a teachings was not explicitly stated it would have been obvious nonetheless absent a showing of unexpected results because essentially the same end product would have been produced. Note that the courts have held that selection of any order of performing process steps is *prima facie* obvious in the absence of new or unexpected results (*In re Burhans*, 154 F.2d 690, 69 USPQ 330 (CCPA 1946)) and have further upheld that the disparity between simultaneous and sequential steps is likewise an obvious matter absent a showing of unexpected results (*New Wrinkle v. Marzall* 93 USPQ 92, *New Wrinkle v. Watson* 96 USPQ 436)).

Regarding claims 23 and 24, Steibel 6,280,550 discloses the thickness of the product is defined by strength considerations and that such thickness is allocated between the two layers (i.e. insert and overlay) (column 6). Clearly it is expected that increase in the thickness of one of the rigidized layer results an increased strength of said layer. Steibel discloses that typically about half of the total number of plies is in each of the layers. Steibel therefore typically utilizes about similar strengths for the

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insert and outer shell. The examiner notes that by use of the term typically and about Steibel is recognizing that variations both occur and can be used as viable options so therefore a slightly stiffer insert (based upon a slight increase in ply thickness) is not out of the scope of Steibel's disclosure. Steibel recognizes that a variation such as one with a stiffer insert would be viable and accomplished via utilizing of a larger number of plies used in the insert compared to the overlay. Also note in this case Steibel recognizes that ply thickness is a result effective variable affecting the strength of the layers and it has been held that discovering an optimum value of a result effective variable involves only routine skill in the art. *In re Boesch*, 617 F.2d 272, 205 USPQ 215 (CCPA 1980). The courts held that the normal desire of scientists or artisans to improve upon what is already generally known provides the motivation to determine where in a disclosed set of percentage ranges (i.e. varying the relationship from near 50/50 and in either direction therefrom) is the optimum combination of percentages (*Peterson*, 315 F.3d at 1330, 65 USPQ2d at 1382).

4. Claims 17 and 18 are rejected under 35 U.S.C. 103(a) as obvious over Steibel et al. 6,280,550 in view of JP 6-137103 and Baldwin et al. 5,279,892.

Steibel et al. 6,280,550 discloses a method of making a composite turbine blade comprising: providing first reinforcement comprising an insert preform of silicon carbide fabric rigidized by deposited silicon carbide (silicon carbide-silicon carbide composite preform having porosity); optionally depositing matrix material to fill only a portion of the porosity of the insert preform (silicon-silicon carbide composite preform having some porosity); providing second reinforcement comprising silicon carbide fabric plies (outer

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shell section preform); applying the silicon carbide fabric plies to contact the insert and define the surface shape of the blade; and depositing matrix material into the porosity of the first and second reinforcement, the depositing also providing bonding between the first and second reinforcements. Matrix material may be deposited by melt infiltration of silicon so that the matrix is silicon carbide or mixture of silicon and silicon carbide (col. 2-7). Steibel et al. do not disclose providing the composite turbine blade with a dovetail section by inserting an insert preform in the dovetail section.

JP 6-137103 teaches that a fiber reinforced composite turbine blade, such as of fiber strengthening ceramic (ceramic matrix composite), is made with a dovetail section using reinforcing fiber which extends from the dovetail section to the blade part (Abstract and computer translation).

Baldwin teaches that in making composite airfoils (fan blades), inserts or "preforms" are provided in both the blade part to form the core of the blade and in the root part (dovetail) of the blade. The inserts are made to be of the same composite material as the composite material layered over the inserts to form the composite blade. Using inserts enhance producibility and eliminate hundreds of prepreg layers, especially in the thick root sections (col. 2, lines 13-57, col. 4, lines 44-46).

It would have been obvious to one of ordinary skill in the art to have modified the method of Steibel et al. for making a composite turbine blade by making the turbine blade with a dovetail section, as taught by JP ' 103, as provided as part of a turbine blade and also made during the fabrication of a fiber reinforced composite blade.

Providing the fabric plies (outer shell section preform) to extend from the blade part to a

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dovetail section to form both the blade and dovetail section of a turbine blade in one step of matrix deposition would have been obvious to one of ordinary skill in the art, as JP ' 103 teaches that the reinforcing fiber for a turbine blade extends from the blade section to the dovetail section.

Providing an insert preform not only in the blade section but also in the dovetail section would have been obvious to one of ordinary skill in the art, as Baldwin teaches that a composite fan blade having a root part (dovetail) is provided with insert (insert preform) not only in the blade part but also in the dovetail part in order to enhance producibility and reduce the number of prepreg layers, especially in the thick dovetail section. Providing an insert (insert preform) in the dovetail section as silicon carbide fabric rigidized by deposited silicon carbide (silicon carbide- silicon carbide composite preform having porosity), or silicon-silicon carbide composite preform having some porosity, would have been obvious to one of ordinary skill in the art to provide an insert preform in the dovetail section similar to that provided in the blade section to allow for deposition of matrix by silicon melt infiltration and bonding between the preform and the fabric plies, as disclosed by Steibel et al. It would have been obvious to one of ordinary skill in the art that, in making a silicon carbide-silicon carbide composite turbine blade using insert preforms in both the blade part and dovetail part, to provide the insert preforms as similar in composition to each other and to the fabric plies which are to contact the insert preforms, as Baldwin et al. teach that the insert in the blade part and dovetail part are similar in composition and to that of the prepreg layers (plies) to enhance producibility and to reduce the number of prepreg layers required, especially in

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the thick dovetail part of the blade. The use of the same type of insert preform in the dovetail section as used in the blade section would have been obvious to one of ordinary skill in the art, as clearly suggested by Baldwin, to make a composite blade.

Further, by providing a second reinforcement of silicon carbide fabric plies for defining the surface shape of the blade and into which silicon can be deposited by melt infiltration, an outer shell preform having at least some porosity is obviously provided.

Response to Arguments

Applicant's arguments filed 10/27/2008 have been fully considered but they are not persuasive.

The applicant contends the combination of Baldwin and Steibel.

First it is noted by the applicant that such arguments have been addressed in the previous action and as set forth previously there would have been ample evidence to make the combination. It is also emphasized that one cannot show nonobviousness by attacking references individually where the rejections are based on combinations of references. See *In re Keller*, 642 F.2d 413, 208 USPQ 871 (CCPA 1981); *In re Merck & Co.*, 800 F.2d 1091, 231 USPQ 375 (Fed. Cir. 1986). In response to applicant's argument that the examiner's conclusion of obviousness is based upon improper hindsight reasoning, it must be recognized that any judgment on obviousness is in a sense necessarily a reconstruction based upon hindsight reasoning. But so long as it takes into account only knowledge which was within the level of ordinary skill at the time the claimed invention was made, and does not include knowledge gleaned only from the

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applicant's disclosure, such a reconstruction is proper. See *In re McLaughlin*, 443 F.2d 1392, 170 USPQ 209 (CCPA 1971). In this case the art relied upon either is directed to the same field of endeavor as Steibel et al. 6,280,550 and/or provides highly relevant teachings that would have commended the attention of an ordinary skilled artisan. The basis for combination has been adequately addressed in the previous action and is not repeated for the sake of brevity.

The argument towards the nature of the core being homogeneously solid is moot in view of the new rejection above addressing such new limitations. Also it again reiterated that term homogenously solid neither supported by applicants specification nor clearly discernable in the claims.

The applicant again argues the nature of the Baldwin/Steibel combination.

It has been held that a prior art reference must either be in the field of applicant's endeavor or, if not, then be reasonably pertinent to the particular problem with which the applicant was concerned, in order to be relied upon as a basis for rejection of the claimed invention. See *In re Oetiker*, 977 F.2d 1443, 24 USPQ2d 1443 (Fed. Cir. 1992). In this case, Baldwin is not only in the field of applicant's endeavor, that being the field of making composite turbine blades, but also reasonably pertinent to the particular problem with which the applicant was concerned, that being how to provide an insert in the dovetail section of the composite turbine blade. Applicant appears to contend that resin matrix composites and ceramic matrix composites made by melt infiltration are so different in processing and are such different fields that teachings such as from the Baldwin reference, which is particularly directed to resin matrix composites, are not

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relevant to composite processing such as of Steibel, which is particularly directed to ceramic matrix composites; however, not only are both references related to forming composite turbine blades but also forming composite blades having inserts. The particular composite fields of resin matrix composites and ceramic matrix composites are not as disparate as Applicant contends, and one of ordinary skill in the art of composites is familiar with both resin matrix composite processing and ceramic matrix composite processing. In regard to combining Steibel with Baldwin, this is not a situation where the rejection is a statement that it would have been "obvious to try" without more. Here there was a reasonable expectation of success. "Obviousness does not require absolute predictability of success." *Id.* at 903, 7 USPQ2d at 1681. In this case based upon the fact that both motivation to combine and a substantial similarity between the nature of the arts existed an ordinary skilled artisan would have found the teachings of Baldwin to be relevant and given the similarity between arts (i.e. both composite turbine blades) would have expected to introduce said features of Baldwin with a reasonable expectation of success.

The applicant contends that the bonding step is not explicitly taught by the prior art.

The examiner notes that the prior art as set forth above disclose the addition of silicon carbon via melt infiltration into the porous layers. This melt infiltration into the porous layers causes the bonding. In fact Steibel 6,280,550 even recognizes the silicon carbide infusion layer as a bonding material (column 4).

Conclusion

5. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to MICHAEL N. ORLANDO whose telephone number is (571)270-5038. The examiner can normally be reached on Monday-Thursday, 7:30am-4:30pm, alternate Fridays.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Philip C. Tucker can be reached on (571) 272-1095. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

MO

/Philip C Tucker/
Supervisory Patent Examiner, Art Unit 1791